



To: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231



NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): TERUHIRO YAMADA, MUTSUMI IKEDA, ATSUSHI MAEDA
and TSUGUFUMI MATSUOKA

Title: AN INFORMATION RETRIEVAL APPARATUS AND METHOD
USING REGIONAL INFORMATION

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

- 29 Page(s) of Written Description
7 Page(s) Claims
1 Page(s) Abstract
11 Sheets of Drawings ☐ Informal ☒ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- ☐ Declaration
☐ Power of Attorney ☐ Separate ☐ Combined with Declaration
☐ Assignment to _____ and assignment cover sheet
☒ Certified Copy of Priority Document No(s): JPA 2000-200348 and JPA 2000-373586
☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations
☐ Preliminary Amendment
☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
☒ Return Postcard
☐ Other:

III. PRIORITY

- ☒ Priority of applications Serial No. JPA 2000-200348 filed on June 30, 2000, and Serial No. JPA 2000-373586 filed on December 7, 2000, in Japan is claimed under 35 U.S.C. § 119.

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL698968129US

Express Mail Label No.

March 30, 2001

Date of Deposit

RICK MORENO

Name of Person Mailing Paper

Rich Moreno

Signature of Person Mailing Paper

IV. **THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

☐ Applicant claims small entity status pursuant to 37 CFR § 1.27

| BASIC FILING FEE: | | | | | \$710.00 |
|---|-------|-----------------|----|--------------------------|------------|
| Total Claims | 20 | - | 20 | = 0 x \$18.00 | \$0.00 |
| Independent Claims | 9 | - | 3 | = 6 x \$80.00 | \$480.00 |
| Multiple Dependent Claims | \$270 | (if applicable) | | <input type="checkbox"/> | \$0.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | \$1,190.00 |
| Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28. | | | | | \$0.00 |
| Misc. Filing Fees (Recordation of Assignment -- \$40) | | | | | \$0.00 |
| TOTAL FEES DUE HERewith | | | | | \$1,190.00 |

V. **METHOD OF PAYMENT OF FEES**

- ☐ A check in the amount of ____.
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of ____.
- ☒ This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. **AUTHORIZATION TO CHARGE FEES**

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- ☐ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 – (Any application processing fees)
- ☐ 37 CFR § 1.21 – (Assignment recording fees)

VII. **CORRESPONDENCE ADDRESS**

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

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Please direct all inquiries to Robert E. Lyon, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

By:

ROBERT E. LYON

Reg. No. 24,171

Dated: March 30, 2001